

Name: \_\_\_\_\_

## EMPLOYMENT DETAILS

Employment Status;  Employed  Self Employed  Retired  Housewife  Unemployed  Other.....

Present Occupation: \_\_\_\_\_

Previous Occupation (If retired): \_\_\_\_\_

Employer's Name and Address: \_\_\_\_\_

(or Business address if self employed) \_\_\_\_\_

Business Phone No: \_\_\_\_\_

Business Sector if Self Employed: \_\_\_\_\_

## FINANCIAL DETAILS

### Gross Annual Income (Rs)

0 - 100,000

100,001 - 200,000

200,001 - 400,000

400,001 - 600,000

600,001 - 900,000

Above 900,000

### Fund derived mainly from

Salary

Rental/Property Sale

Inheritance

Dividends/Interests

Investment Proceeds

Lottery/Betting/Casino win

Retirement Provision

Spouse / Parents

Geared Loan

Insurance proceeds

Gift

Other (specify)

## INVESTMENT OBJECTIVES

- **Income:** The objective of the income strategy is to provide current income instead of long-term capital growth. Characteristics of this strategy include steady income with little potential for capital gain and a more liquid risk of capital loss in comparison to other securities
- **Speculation:** The objective of this strategy is to produce higher-than-average gains by assuming a higher risk of loss by taking advantage of expected price changes. This strategy should only be chosen by investors who are not dependent on these assets for their financial well-being and who have a high risk tolerance.
- **Preservation of capital:** The objective of the preservation of capital investment strategy is to protect your initial investment by choosing investments that minimize the potential for loss of principal.
- **Short Term Trading:** The purchase and sale of a security within a short period of time, often a single trading session. This is the opposite of buy and hold.
- **Capital Appreciation:** The objective of the capital appreciation strategy is to pursue growth in the principal of your investments over the time while recognizing an increased in the level of volatility and risk.
- **Hedge:** An investment made in order to reduce the risk of adverse price movement in a security by taking an offsetting position in a related security.
- **Tax Advantage Income:** The objective of the tax advantage income strategy is to produce income from investments (typically bonds and high-yield stocks) that provide tax benefits such as exemption from state taxes
- **Aggressive Growth:** this strategy aims for the highest possible growth and is not risk averse in its selection of investments. An example would be buying stock in an emerging company. This strategy is suitable for investors who are willing to accept a high risk-return trade off as these stocks tend to have high share price volatility.

**Has the Customer ever been convicted in any court of law for a criminal offence or are there any proceedings now pending against the Customer which may lead to such a conviction?**

NO  YES, (Details) \_\_\_\_\_

**Has the Customer been declared bankrupt or compounded with or made an assignment for the benefit of the Customer's creditors in Mauritius or elsewhere?**

NO  YES, (Details) \_\_\_\_\_

**Are you acting as a nominee /trustee on behalf of an undisclosed underlying principal(s)?**

NO  YES, (Details) \_\_\_\_\_

I, \_\_\_\_\_, hereby declare that I am / the Customer is not involved in or in relation to any act of or dealing with the trafficking of narcotics and dangerous drugs and proceeds of such trafficking, contrary to the Dangerous Drug Act 2000, terrorist financing under The Prevention of Terrorism Act 2002, And/or such money laundering offences under the Financial Intelligence and Anti-money Laundering Act 2002 and any other applicable legislation.

I declare that the information provided in this form is true and complete. I undertake to notify the Fund immediately in writing of any change in particulars or information provided above.

Print Name: \_\_\_\_\_

Signature: \_\_\_\_\_

Date: \_\_\_\_\_